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## **COST BASIS**

One of the benefits of working with Capital Advantage, Inc. is that your trading cost basis information can be recorded and tracked. The tracking will assist in understanding general tax consequences upon liquidation of securities and will be able to provide you with year-end reports that will assist with your income tax preparation.

To provide this benefit, you will need to supply accurate cost basis information for the securities held prior to establishing a relationship with Capital Advantage, Inc. and for any future transfers of securities into the management of Capital Advantage, Inc.

Please:

1. Complete the Cost Basis form on the following page.
2. Send the form (via mail, fax, or email) to Capital Advantage, Inc.

It is important that the information that you provide is accurate. If you need any assistance, it is highly recommend that you consult with a qualified tax specialist on this matter.

While your assets are under management with Capital Advantage, Inc., any subsequent security that is purchased and reinvested shares will be automatically tracked.

### **Please read and sign:**

Client authorizes Capital Advantage, Inc. to edit the cost basis information it reports to Client and the holder(s) of the account in accordance with the instructions given in this document. Client understands that Capital Advantage, Inc. is not responsible for the accuracy of the cost basis information provided or edited by Client, Client's accountant or Client's attorney, and agrees to indemnify and hold harmless Capital Advantage, Inc. from any liability which may arise in any manner or form on account of the provision of inaccurate or incomplete information.

Date: \_\_\_\_\_

Client(s) Name: \_\_\_\_\_

Client(s) Signature(s): \_\_\_\_\_

